



Attorney Docket No. 0756-7215

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Toru TAKAYAMA et al.

Serial No. 10/697,941

Filed: October 31, 2003

For: SEMICONDUCTOR DEVICE AND  
MANUFACTURING METHOD  
THEREOF

) Group Art Unit:

) Examiner:

) CERTIFICATE OF MAILING

) I hereby certify that this correspondence is  
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**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

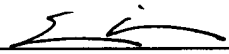
Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

U.S. Patent Nos. 6,312,795 and 6,436,520 are in the family of EP 1 081 721.

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits; therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.


Respectfully submitted,

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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>		
				Application Number		10/697,941
				Filing Date		October 31, 2003
				First Named Inventor		Toru TAKAYAMA et al.
				Group Art Unit		
				Examiner Name		
				Sheet	1	of

[illegible][illegible]

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		International Preliminary Examination Report, Application No. PCT/JP03/13553, dated March 30, 2004.	Partial

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.